MF Utilities India Pvt. Ltd.

MFU

103-105, Orion Business Park, Ghodbunder Road, Kapurbawdi
Thane (West) - 400 610
CIN : U74120MH2013PTC242939

CAN Transaction Form - STP Registration

Please read all the instructions carefully before filling the form
Please fill in ENGLISH and in BLOCK LETTERS with black ink
Fields marked with (*) are mandatory and if not filled, the form is liable for rejection

A. * Please tick (✓) anyone. In the absence of indication of the option the form is liable to be rejected

NEW REGISTRATION		CANCELLATION	

B. * UNITHOLDER INFORMATION (If you have a CAN, please fill in the details):-

Common Account Number (CAN)

Name of the First/Sole Holder

C. Key Partner / Agent Information (Investors applying under Direct Plan/s must mention "DIRECT" in ARN column and / or the SEBI RIA Code):-

ARN Code	SEBI RIA Code#	AHN / HIA Name	Sub-Agent ARN Code	Sub Agent/RM/Branch	EUIN^
ARN-	INA		ARN-		

☐ ^ - EUIN declaration (only where EUIN box is left blank) (refer instructions B2) : I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor / sub-broker or not withstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub-broker

☐ # - RIA declaration (only where RIA code is provided) : I/We hereby give you my / our consent to share / provide the transaction data feed / portfolio holdings / NAV etc. in respect of my / our investments under Direct Plans of all schemes managed by you to the SEBI Registered Investment Advisor whose code is mentioned herein

Sign here	Sign here	Sign here
Sole/First Applicant / Guardian / POA Holder	**Second Applicant**	**Third Applicant**

D. * Scheme Details:- Note : Minimum gap of 10 days is required from the date of submission and the first instalment.

Tranche 1

AMC / Mutual Fund	specify AMC / Mutual Fund name, source and target schemes should be of the same Mutual Fund and serviced by the same RTA	Folio Number	specify folio number
Scheme Details	**Source Scheme Details**	**Target Scheme Details**	
Scheme / Plan%	specify source scheme / plan	specify target scheme / plan	
	% - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	% - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	

Option (please tick (✓)): Growth ☐ | Dividend Payout ☐ | Dividend Reinvestment ☐ | Others ☐ please specify — (same for Target: Growth ☐ | Dividend Payout ☐ | Dividend Reinvestment ☐ | Others ☐ please specify)

STP Option$ (refer instruction C): Capital Appreciation/Variable (please tick (✓)) ☐ | Fixed Amount @ ₹ ___ | @ - If less than the minimum defined by the scheme, will be processed as per business rules of the AMC

Frequency$ (please tick (✓) anyone) (refer instruction C): Daily ☐ | Weekly ___ specify (as offered by the scheme) | Fortnightly ___ specify (as offered by the scheme) | Monthly ☐ | Quarterly ☐

Date of Transfer $ (only for Monthly / Quarterly frequency): D D | Start Month & Year @ M M / Y Y Y Y | End Month & Year ^ M M / Y Y Y Y | $ - If not offered by the scheme, will be processed as per business rules of the AMC

@ - Please refer the note against Scheme details in "Section D" in the form   ^ - Leave blank for perpetual

Tranche 2

AMC / Mutual Fund	specify AMC / Mutual Fund name, source and target schemes should be of the same Mutual Fund and serviced by the same RTA	Folio Number	specify folio number
Scheme Details	**Source Scheme Details**	**Target Scheme Details**	
Scheme / Plan%	specify source scheme / plan	specify target scheme / plan	
	% - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	% - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	

Option (please tick (✓)): Growth ☐ | Dividend Payout ☐ | Dividend Reinvestment ☐ | Others ☐ please specify — (same for Target: Growth ☐ | Dividend Payout ☐ | Dividend Reinvestment ☐ | Others ☐ please specify)

STP Option$ (refer instruction C): Capital Appreciation/Variable (please tick (✓)) ☐ | Fixed Amount @ ₹ ___ | @ - If less than the minimum defined by the scheme, will be processed as per business rules of the AMC

Frequency$ (please tick (✓) anyone) (refer instruction C): Daily ☐ | Weekly ___ specify (as offered by the scheme) | Fortnightly ___ specify (as offered by the scheme) | Monthly ☐ | Quarterly ☐

Date of Transfer $ (only for Monthly / Quarterly frequency): D D | Start Month & Year @ M M / Y Y Y Y | End Month & Year ^ M M / Y Y Y Y | $ - If not offered by the scheme, will be processed as per business rules of the AMC

@ - Please refer the note against Scheme details in "Section D" in the form   ^ - Leave blank for perpetual

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ACKNOWLEDGEMENT SLIP (to be filled in by the investor). For any queries please contact the nearest MFU "Point of Service" or call us at 1800-266-1415 (Toll Free) or +91 22 3952 6363.   AE
MF UTILITIES INDIA PVT. LTD., Address: 103-105, 1st Floor, Orion Business Park, Ghodbunder Road, Kapurbawdi, Thane (West) - 400 610, India

Received from Mr. / Ms. M/s. _____ an application for STP as per details mentioned below:-

AMC / Fund	From Scheme / Plan / Option	To Scheme / Plan / Option	Amount (Rs.) / Capital Appreciation	POINT OF SERVICE STAMP & SIGNATURE

Tranche 3

AMC / Mutual Fund	specify AMC / Mutual Fund name, source and target schemes should be of the same Mutual Fund and serviced by the same RTA	Folio Number	specify folio number

Scheme Details	Source Scheme Details	Target Scheme Details
Scheme / Plan %	specify source scheme / plan % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	specify target scheme / plan % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

Option (please tick (✓))	Growth ☐	Dividend Payout ☐	Dividend Reinvestment ☐	Others ☐ please specify	Growth ☐	Dividend Payout ☐	Dividend Reinvestment ☐	Others ☐ please specify

STP Option $ (refer instruction C)	Capital Appreciation/Variable (please tick (✓)) ☐	Fixed Amount @ ₹	@ – If less than the minimum defined by the scheme, will be processed as per business rules of the AMC

Frequency $ (please tick (✓) anyone) (refer instruction C)	Daily ☐	Weekly ☐ specify (as offered by the scheme)	Fortnightly ☐ specify (as offered by the scheme)	Monthly ☐	Quarterly ☐

Date of Transfer $ (only for Monthly / Quarterly frequency)	D D	Start Month & Year M M / Y Y Y Y	End Month & Year^ M M / Y Y Y Y	$ - If not offered by the scheme, will be processed as per business rules of the AMC

@ - Please refer the note against Scheme details in "Section D" in the form   ^ - Leave blank for perpetual

Tranche 4

AMC / Mutual Fund	specify AMC / Mutual Fund name, source and target schemes should be of the same Mutual Fund and serviced by the same RTA	Folio Number	specify folio number

Scheme Details	Source Scheme Details	Target Scheme Details
Scheme / Plan %	specify source scheme / plan % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	specify target scheme / plan % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

Option (please tick (✓))	Growth ☐	Dividend Payout ☐	Dividend Reinvestment ☐	Others ☐ please specify	Growth ☐	Dividend Payout ☐	Dividend Reinvestment ☐	Others ☐ please specify

STP Option $ (refer instruction C)	Capital Appreciation/Variable (please tick (✓)) ☐	Fixed Amount @ ₹	@ – If less than the minimum defined by the scheme, will be processed as per business rules of the AMC

Frequency $ (please tick (✓) anyone) (refer instruction C)	Daily ☐	Weekly ☐ specify (as offered by the scheme)	Fortnightly ☐ specify (as offered by the scheme)	Monthly ☐	Quarterly ☐

Date of Transfer $ (only for Monthly / Quarterly frequency)	D D	Start Month & Year M M / Y Y Y Y	End Month & Year^ M M / Y Y Y Y	$ - If not offered by the scheme, will be processed as per business rules of the AMC

@ - Please refer the note against Scheme details in "Section D" in the form   ^ - Leave blank for perpetual

Tranche 5

AMC / Mutual Fund	specify AMC / Mutual Fund name, source and target schemes should be of the same Mutual Fund and serviced by the same RTA	Folio Number	specify folio number

Scheme Details	Source Scheme Details	Target Scheme Details
Scheme / Plan %	specify source scheme / plan % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection	specify target scheme / plan % - Please write the appropriate scheme and plan name. If incorrect, transaction is liable for rejection

Option (please tick (✓))	Growth ☐	Dividend Payout ☐	Dividend Reinvestment ☐	Others ☐ please specify	Growth ☐	Dividend Payout ☐	Dividend Reinvestment ☐	Others ☐ please specify

STP Option $ (refer instruction C)	Capital Appreciation/Variable (please tick (✓)) ☐	Fixed Amount @ ₹	@ – If less than the minimum defined by the scheme, will be processed as per business rules of the AMC

Frequency $ (please tick (✓) anyone) (refer instruction C)	Daily ☐	Weekly ☐ specify (as offered by the scheme)	Fortnightly ☐ specify (as offered by the scheme)	Monthly ☐	Quarterly ☐

Date of Transfer $ (only for Monthly / Quarterly frequency)	D D	Start Month & Year M M / Y Y Y Y	End Month & Year^ M M / Y Y Y Y	$ - If not offered by the scheme, will be processed as per business rules of the AMC

@ - Please refer the note against Scheme details in "Section D" in the form   ^ - Leave blank for perpetual

F. * Declaration and Signature(s):-

I / We hereby confirm and declare as under:-

(1) I/We have read and understood the contents of the respective Scheme Information Document(s), Statement of Additional Information(s), Key Information Memorandum(s), Instructions and Addenda issued by the respective Mutual Funds, including level of risk depicted by colour code boxes and descriptions thereof (Product Labelling), the sections on "Prevention of Money Laundering and Know Your Customers" for all the schemes chosen for investments (both Continuous and Initial Offering) through this Transaction Form, and hereby apply to the Trustee/s of the respective Mutual Funds for Units of the relevant Scheme/s and agree to abide by the terms and conditions, rules and regulations of the Scheme.

(2) I/We am/are eligible Investor(s) as per the respective Scheme related documents and am/are authorised to make this investment as per the Constitutive documents / authorization(s). The amount invested in the respective Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.

(3) I/We will indemnify MFU, Mutual Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.

(4) I/We hereby declare that I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment.

(5) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme/s is/are being recommended to me/us.

(6) I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/COMMUNICATED ANY INDICATIVE PORTFOLIO AND/OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

(7) Where the EUIN box is left blank being an execution only transaction, I/We confirm that the transaction is not withstanding the advice of in-appropriateness, if any, provided by the distributor's employee/relationship manager/sales person and the distributor has not charged any fees on this transaction.

Date : D D / M M M / Y Y Y Y   Place : _____

Sign Here	Sign Here	Sign Here
Sole/First Applicant / Guardian / POA Holder	Second Applicant	Third Applicant

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Common Transaction Form (CTF)

O	Form for multiple transactions	No need to fill and submit separate transaction forms for transactions in different schemes/Mutual Funds. Burden of handling multiple documents reduced
N	Time-stamp for multiple transactions	One time-stamp applied uniformly for all transactions in the form. Burden of submitting transactions at different offices / locations reduced
E	Payment Instrument for multiple investments	No need to issue separate payment instruments for different schemes/Mutual Funds. Burden of handling multiple payment instruments reduced